# Gerard J. Frigon

Taylor Frigon Capital Management, LLC

Brochure Supplement Dated: March 9, 2023

Contact: Jennifer O. Hall, Chief Compliance Officer 18835 N. Thompson Peak Pkwy, Suite C-215 Scottsdale, Arizona 85255

B.

This Brochure Supplement provides information about Gerard J. Frigon that supplements the Taylor Frigon Capital Management, LLC Brochure; you should have received a copy of that Brochure. Please contact Jennifer O. Hall, Chief Compliance Officer, if you did *not* receive Taylor Frigon Capital Management, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Gerard J. Frigon is available on the SEC's website at www.adviserinfo.sec.gov.

## Item 2 Education Background and Business Experience

Gerard J. Frigon was born in 1962. Mr. Frigon graduated from The University of California, Santa Barbara in 1985, with a B.A. degree in Business/Economics. Mr. Frigon has been the Chief Compliance Officer of Taylor Frigon Capital Management, LLC since January of 2007. Mr. Frigon was employed as First Vice-President/Investments with Merrill Lynch & Co. from December 1986 to January of 2007.

## **Item 3 Disciplinary Information**

A. <u>Taylor Frigon Capital Partners, LP</u>. Taylor Frigon Capital Management, LLC is affiliated with a private fund and serves as the financial adviser to Taylor Frigon Capital Partners, LP (the "*Fund*). The general partner of the *Fund* is Taylor Frigon Capital Advisors, LLC, of which Mr. Frigon is the one hundred percent (100%) owner. Mr. Frigon may recommend, on a non-discretionary basis, that qualified clients allocate a portion of their investment assets to the *Fund*.

**Conflict of Interest**: Because Mr. Frigon, through his ownership interest in the *Fund*, may receive an enhanced economic benefit from a client's *Fund* investment (i.e., distributions in accordance with his ownership interest), Mr. Frigon has a conflict of interest in recommending to clients that they consider investing in the *Fund*. <u>The Registrant's Chief Compliance Officer, Jennifer O. Hall, remains available to address any questions that a client or prospective client may have regarding the *Fund* and any corresponding conflicts of interest.</u>

B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

## Item 5 Additional Compensation

Mr. Frigon's annual compensation is based, in part, on the amount of assets under management that Mr. Frigon introduces to the Registrant. Accordingly, Mr. Frigon has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

## **Item 6 Supervision**

# John Ferebee

Taylor Frigon Capital Management, LLC

Brochure Supplement Dated: March 23, 2023

# Contact: Jennifer O. Hall, Chief Compliance Officer 18835 N. Thompson Peak Pkwy, Suite C-215 Scottsdale, Arizona 85255

B.

This Brochure Supplement provides information about John Ferebee that supplements the Taylor Frigon Capital Management, LLC Brochure; you should have received a copy of that Brochure. Please contact Jennifer O. Hall, Chief Compliance Officer, if you did *not* receive Taylor Frigon Capital Management, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about John Ferebee is available on the SEC's website at www.adviserinfo.sec.gov.

## Item 2 Education Background and Business Experience

John Ferebee was born in 1943. Mr. Ferebee graduated from Arizona State University in 1966, with a Bachelor of Science degree in Business/Geography. Mr. Ferebee has been employed as Director of Wealth Planning with Taylor Frigon Capital Management, LLC since May 2008. Mr. Ferebee was employed as Senior Vice President and Director of Retail Lending with Rabobank from January of 2000 to May of 2008.

## **Item 3 Disciplinary Information**

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

Mr. Ferebee's annual compensation is based, in part, on the amount of assets under management that Mr. Ferebee introduces to the Registrant. Accordingly, Mr. Ferebee has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

### **Item 6 Supervision**

## **David Warner Mathisen**

Taylor Frigon Capital Management, LLC

Brochure Supplement Dated: March 23, 2023

Contact: Jennifer O. Hall, Chief Compliance Officer 18835 N. Thompson Peak Pkwy, Suite C-215 Scottsdale, Arizona 85255

B.

This Brochure Supplement provides information about David Warner Mathisen that supplements the Taylor Frigon Capital Management, LLC Brochure; you should have received a copy of that Brochure. Please contact Jennifer O. Hall, Chief Compliance Officer, if you did *not* receive Taylor Frigon Capital Management, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about David Warner Mathisen is available on the SEC's website at www.adviserinfo.sec.gov.

## Item 2 Education Background and Business Experience

David Warner Mathisen was born in 1969. Mr. Mathisen graduated from the United States Military Academy at West Point in 1991, with a Bachelor of Science degree in Systems Engineering/English. Mr. Mathisen graduated from Texas A&M University in 2001 with a Masters degree in Literature. Mr. Mathisen has been employed as Portfolio Manager with Taylor Frigon Capital Management, LLC since January of 2007. Mr. Mathisen was also employed as a Financial Adviser with Merrill Lynch & Co. from February of 2003 to January of 2007.

## **Item 3 Disciplinary Information**

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

## **Item 5 Additional Compensation**

Mr. Mathisen's annual compensation is based, in part, on the amount of assets under management that Mr. Mathisen introduces to the Registrant. Accordingly, Mr. Mathisen has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

### **Item 6 Supervision**

# James Matthew McConaghy

Taylor Frigon Capital Management, LLC

Brochure Supplement Dated: March 23, 2023

Contact: Jennifer O. Hall, Chief Compliance Officer 18835 N. Thompson Peak Pkwy, Suite C-215 Scottsdale, Arizona 85255

B.

This Brochure Supplement provides information about James Matthew McConaghy that supplements the Taylor Frigon Capital Management, LLC Brochure; you should have received a copy of that Brochure. Please contact Jennifer O. Hall, Chief Compliance Officer, if you did *not* receive Taylor Frigon Capital Management, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about James Matthew McConaghy is available on the SEC's website at www.adviserinfo.sec.gov.

## Item 2 Education Background and Business Experience

James Matthew McConaghy was born in 1979. Mr. McConaghy graduated from the University of California at Santa Barbara in 2003, with a Bachelor of Arts degree in Communication. Mr. McConaghy has been the Director of Institutional sales with Taylor Frigon Capital Management, LLC since March 2017. From June 2013 to August 2016, Mr. McConaghy was the Vice President of Eaton Partners, LLC. From July 2012 to June 2013, Mr. McConaghy was the Founder of Roc Advisors.

## **Item 3 Disciplinary Information**

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

## **Item 5 Additional Compensation**

Mr. McConaghy's annual compensation is based, in part, on the amount of assets under management that Mr. McConaghy introduces to the Registrant. Accordingly, Mr. McConaghy has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

### **Item 6 Supervision**

## Jacob W. Turbow

Taylor Frigon Capital Management, LLC

Brochure Supplement Dated: March 23, 2023

Contact: Jennifer O. Hall, Chief Compliance Officer 18835 N. Thompson Peak Pkwy, Suite C-215 Scottsdale, Arizona 85255

B.

This Brochure Supplement provides information about Jacob W. Turbow that supplements the Taylor Frigon Capital Management, LLC Brochure; you should have received a copy of that Brochure. Please contact Jennifer O. Hall, Chief Compliance Officer, if you did *not* receive Taylor Frigon Capital Management, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Jacob W. Turbow is available on the SEC's website at www.adviserinfo.sec.gov.

## Item 2 Education Background and Business Experience

Jacob W. Turbow was born in 1993. Mr. Turbow graduated from California Polytechnic State University in 2015, with a Bachelor of Science degree in Economics. Since October 2018, Mr. Turbow has been a research analyst with Taylor Frigon Capital Management, LLC. From April 2018 to October 2018, Mr. Turbow was an inventory analyst at Lavaseats. From August 2017 to April 2018, Mr. Turbow was a tax accountant with Glenn Burdett. From September 2015 to July 2017, Mr. Turbow was an Assistant Vice President at Mesirow Financial and from January 2014 to August 2015, he was administrative support at Glenn Burdette.

## **Item 3 Disciplinary Information**

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

#### **Item 5 Additional Compensation**

None

#### **Item 6 Supervision**

# Matthew S. Sepulveda

Taylor Frigon Capital Management, LLC

Brochure Supplement Dated: March 23, 2023

Contact: Jennifer O. Hall, Chief Compliance Officer 18835 N. Thompson Peak Pkwy, Suite C-215 Scottsdale, Arizona 85255

B.

This Brochure Supplement provides information about Matthew S. Sepulveda that supplements the Taylor Frigon Capital Management, LLC Brochure; you should have received a copy of that Brochure. Please contact Jennifer O. Hall, Chief Compliance Officer, if you did *not* receive Taylor Frigon Capital Management, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Matthew S. Sepulveda is available on the SEC's website at www.adviserinfo.sec.gov.

## Item 2 Education Background and Business Experience

Matthew S. Sepulveda was born in 1993. Mr. Sepulveda graduated from California State University, Sacramento in 2016, with a Bachelor of Arts degree in Economics/Government and from Boston University in 2017 with a Master of Arts degree in Economics. Mr. Sepulveda has been employed as a Research Analyst with Taylor Frigon Capital Management, LLC since February 2018. Prior to that, Mr. Sepulveda was a student.

Mr. Sepulveda has been a CFA<sup>®</sup> Charterholder since 2022. CFA<sup>®</sup> designates an international professional certificate that is offered by the CFA Institute. The Chartered Financial Analyst<sup>®</sup> (CFA<sup>®</sup>) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals.

There are currently more than 178,000 CFA<sup>®</sup> Charterholders working in over 170 countries and regions. To earn the CFA<sup>®</sup> charter, candidates must: (1) pass three sequential, six-hour examinations; (2) have at least four years of qualified professional investment experience; (3) join CFA Institute as members; and (4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

### **High Ethical Standards**

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, require CFA<sup>®</sup> Charterholders to:

- Place their clients' interests ahead of their own
- Maintain independence and objectivity
- Act with integrity
- Maintain and improve their professional competence
- Disclose conflicts of interest and legal matters

#### **Global Recognition**

Passing the three CFA exams is a difficult feat that requires extensive study (successful candidates report spending an average of 300 hours of study per level). Earning the CFA<sup>®</sup> charter demonstrates mastery of many of the advanced skills needed for investment analysis and decision making in today's quickly evolving global financial industry. As a result, employers and clients are increasingly seeking CFA<sup>®</sup> Charterholders —often making the charter a prerequisite for employment. Additionally, regulatory bodies in 38 countries/territories recognize the CFA<sup>®</sup> charter as a proxy for meeting certain licensing requirements, and more than 466 colleges and universities around the world have incorporated a majority of the CFA Program curriculum into their own finance courses.

#### **Comprehensive and Current Knowledge**

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.

## **Item 3 Disciplinary Information**

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

#### **Item 5 Additional Compensation**

None.

#### **Item 6 Supervision**

# Jennifer Oanh Hall

Taylor Frigon Capital Management, LLC

Brochure Supplement Dated: March 23, 2023

Contact: Jennifer O. Hall, Chief Compliance Officer 18835 N. Thompson Peak Pkwy, Suite C-215 Scottsdale, Arizona 85255

B.

This Brochure Supplement provides information about Jennifer Oanh Hall that supplements the Taylor Frigon Capital Management, LLC Brochure; you should have received a copy of that Brochure. Please contact Jennifer O. Hall, Chief Compliance Officer, if you did *not* receive Taylor Frigon Capital Management, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Jennifer Oanh Hall is available on the SEC's website at www.adviserinfo.sec.gov.

## Item 2 Education Background and Business Experience

Jennifer Oanh Hall was born in 1970. Ms. Hall graduated from Worcester Polytechnic Institute in 1992, with a Bachelor of Science degree in Civil Engineering. Ms. Hall has been employed with Taylor Frigon Capital Management, LLC since May 2013. From May 2013 through November 2018, she was a Data Analyst and has been the Chief Compliance Officer since November 2018.

## **Item 3 Disciplinary Information**

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

#### **Item 5 Additional Compensation**

None.

#### **Item 6 Supervision**

# **Douglas E. Connolly**

Taylor Frigon Capital Management, LLC

Brochure Supplement Dated: March 23, 2023

# Contact: Jennifer O. Hall, Chief Compliance Officer 18835 N. Thompson Peak Pkwy, Suite C-215 Scottsdale, Arizona 85255

B.

This Brochure Supplement provides information about Douglas E. Connolly that supplements the Taylor Frigon Capital Management, LLC Brochure. You should have received a copy of that Brochure. Please contact Jennifer O. Hall, Chief Compliance Officer, if you did *not* receive Taylor Frigon Capital Management, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Douglas E. Connolly is available on the SEC's website at www.adviserinfo.sec.gov.

## Item 2 Education Background and Business Experience

Douglas E. Connolly was born in 1975. Mr. Connolly graduated from The University of Texas at Austin in 1999, with a Bachelor of Arts degree in Economics and in 2004 with a Bachelor of Arts degree in Mathematics. Mr. Connolly has been employed with Taylor Frigon Capital Management, LLC as the Director of Operations since December 2021. From July 2018 through November 2018, he was a Registered Representative, Specialist, Senior Specialist, and lastly, a Manager with Charles Schwab. From June 2013 through March 2018, Mr., Connolly was Owner of Connolly Asset Management.

## **Item 3 Disciplinary Information**

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

#### **Item 5 Additional Compensation**

None.

#### **Item 6 Supervision**

## Kameron A. Gilbert

Taylor Frigon Capital Management, LLC

Brochure Supplement Dated: March 23, 2023

# Contact: Jennifer O. Hall, Chief Compliance Officer 18835 N. Thompson Peak Pkwy, Suite C-215 Scottsdale, Arizona 85255

B.

This Brochure Supplement provides information about Kameron A. Gilbert that supplements the Taylor Frigon Capital Management, LLC Brochure. You should have received a copy of that Brochure. Please contact Jennifer O. Hall, Chief Compliance Officer, if you did *not* receive Taylor Frigon Capital Management, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Kameron A. Gilbert is available on the SEC's website at www.adviserinfo.sec.gov.

## Item 2 Education Background and Business Experience

Kameron A. Gilbert was born in 1995. Mr. Gilbert attended some college did not graduate with a secondary degree. Mr. Gilbert has been employed with Taylor Frigon Capital Management, LLC as an Operations Associate since August 2022. From September 2018 through August 2022, he was a Sales Representative with various companies and was in the United State Marine Corps prior to that.

#### **Item 3 Disciplinary Information**

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

#### **Item 5 Additional Compensation**

None.

#### **Item 6 Supervision**